

APR 20 2004

PTO-1449 (Modified)	APPLICANT John D'Agostino	ATTY. DOCKET NO. 1.706-A.01
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	SERIAL NUMBER 10/037,007	CUSTOMER NO. 4219
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE November 9, 2001	GROUP ART UNIT 2162

U. S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date
BS	5,671,279	09/23/97	Elgamal			
	5,715,314	02/03/98	Payne et al.			
	5,727,163	03/10/98	Bezos			
▼	5,729,594	03/17/98	Klingman			
BS	5,794,221	08/11/98	Egendorf			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Name	Class	Sub-Class	Filing Date
BS	2,167,543	07/1997	CA	Durward			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initial	
BS	Matt Barthel, Diebold Plans Major Push in Market for Debit-Card Point of Sale Terminals, 09/28/1993, American Banker, PP 1-3
BS	Bob Woods, New Dell E-Commerce Guarantee Called "Weak", 08/13/1998, Newsbytes News Network, PP 1-2
BS	Anne Finnigan, The safe way to shop online, Good Housekeeping, 09/1998, PP 1-2

Examiner /Bijendra Shrestha/	Date Considered 02/19/2007
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PTO-1449 (Modified) APR 20 2004 U.S. PATENT & TRADEMARK OFFICE	APPLICANT John D'Agostino	ATTY. DOCKET NO. 1.706-A.01
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Examiner Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date
BS	5,815,657	09/29/98	Williams et al.			
	5,822,737	10/13/98	Ogram			
	6,029,890	02/29/00	Austin			
↓	6,324,526	11/27/01	D'Agostino			
BS	6,339,766	01/15/02	Gephart			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Name	Class	Sub-Class	Filing Date

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initial	
BS	<u>Paul Demery</u> , Attacking the smart card fortress, Credit Card Management, 09/1998, PP 1-7
BS	<u>Larry Chase</u> , Taking transactions online, Target Marketing, 10/1998, 1-5

Examiner /Bijendra Shrestha/	Date Considered 02/19/2007
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

<p style="text-align: center;">TRADEMARK INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i></p>		Complete if Known	
		Application Number	10/037,007
		Filing Date	November 9, 2001
		First Named Inventor	John D'Agostino
		Art Unit	2162
		Examiner Name	
		Attorney Docket Number	1.716-A.01
Sheet	1.	of	1.

U. S. PATENT DOCUMENTS

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Examiner Signature	/Bijendra Shrestha/	Date Considered	02/19/2007
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Sheet 1

of 16

Complete if Known

Application Number	10/037,007
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First Named Inventor	John D'Agostino
Art Unit	2162
Examiner Name	

Attorney Docket Number 1.706-A.01

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
BS		US- 3,938,091	02-10-1976	Atalla et al.	
		US- 4,423,316	12-27-1983	Sano et al.	
		US- 4,707,592	11-17-1987	Ware	
		US- 4,720,860	06-19-1988	Weiss	
		US- 4,725,719	02-16-1988	Oncken et al.	
		US- 4,747,050	05-24-1988	Bracht et al.	
		US- 4,797,920	01-10-1989	Stein	
		US- 5,097,505	03-17-1992	Weiss	
		US- 5,130,519	07-14-1992	Bush et al.	
		US- 5,163,097	11-10-1992	Pegg	
		US- 5,193,114	03-09-1993	Moseley	
		US- 5,196,840	03-23-1993	Leith et al.	
		US- 5,202,826	04-13-1993	McCarthy	
		US- 5,239,583	08-24-1993	Parillo	
		US- 5,287,268	02-15-1994	McCarthy	
		US- 5,317,636	05-31-1994	Vizcaino	
		US- 5,323,338	06-21-1994	Hawthorne	
		US- 5,326,960	07-05-1994	Tannebaum	
↓		US- 5,350,906	09-27-1994	Brody et al.	
BS					

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
BS		EP 0 081 921 A1	06-22-1983	Easterby		
		UK 2 145 265 A	03-20-1985	Miura		
		UK 2 252 270 A	01-30-1991	Wren-Hilton		
		WO 91/12693	08-22-1991	Hawthorne et al		
↓		FR 2 661 996 A1	11-15-1991	Bremard		
BS		WO 93/14476	07-22-1993	Reinikainen		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/037,007
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		First Named Inventor	John D'Agostino
		Art Unit	2162
		Examiner Name	
Sheet 2	of 6	Attorney Docket Number	1.706-A.01

U. S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)	MM-DD-YYYY		
BS		US- 5,363,449	11-08-1994	Bestok	
		US- 5,428,684	06-27-1995	Akiyama et al.	
		US- 5,466,919	11-14-1995	Hovakimian	
		US- 5,478,994	12-26-1995	Rahman et al.	
		US- 5,485,510	01-16-1996	Colbert	
		US- 5,500,513	03-19-1996	Langhans et al.	
		US- 5,504,808	04-02-1996	Hamrick, Jr.	
		US- 5,555,497	09-10-1996	Helbring	
		US- 5,577,109	11-19-1996	Stimson et al.	
		US- 5,583,918	12-10-1996	Nakagawa	
		US- 5,606,614	02-25-1997	Brady et al.	
		US- 5,621,201	04-15-1997	Langhans et al.	
		US- 5,627,355	05-16-1997	Rahman et al.	
		US- 5,677,955	10-14-1997	Doggett et al.	
		US- 5,694,471	12-02-1997	Chen et al.	
		US- 5,696,908	12-09-1997	Muehlberger et al.	
		US- 5,721,768	02-24-1998	Stimson et al.	
		US- 5,724,424	03-03-1998	Gifford	
BS		US- 5,748,908	05-05-1998	Yu	

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
BS		EP 0 590 861 A2	06-04-1994	Colbert	
		EP 0 590 961 A2	06-04-1994	Kazuo et al	
		EP 0 590 861 A3	06-04-1994	Colbert	
		WO 95/07512	03-16-1995	Zlamo	
		WO 96/08756	03-21-1996	Hawthorn et al	
BS		WO 96/42150	12-27-1996	Pittenger et al	

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BS		US- 5,757,917	05-26-1998	Rose et al.	
		US- 5,768,381	06-16-1998	Hawthorne	
		US- 5,777,305	07-07-1998	Smith et al.	
		US- 5,777,306	07-07-1998	Musuada	
		US- 5,825,881	10-20-1998	Colvin, Sr.	
		US- 5,826,241	10-20-1998	Stein et al.	
		US- 5,832,087	11-03-1998	Hawthorne	
		US- 5,864,830	01-26-1999	Armetta et al.	
		US- 5,868,236	02-09-1999	Radermacher	
		US- 5,890,137	03-30-1999	Koreeda	
		US- 5,893,907	04-13-1999	Ukuda	
		US- 5,903,878	05-11-1999	Talati et al.	
		US- 5,953,710	09-14-1999	Fleming	
		US- 5,956,699	09-21-1999	Wong et al.	
		US- 5,984,180	11-16-1999	Albrecht	
		US- 5,991,750	11-23-1999	Watson	
		US- 6,029,890	02-29-2000	Austin	
↓		US- 6,144,948	11-07-2000	Walker et al.	
BS		US- 6,163,771	12-19-2000	Walker et al.	

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BS		WO 97/15893	01-05-1997	Ukuda	
		WO 97/19549	05-29-1997	Beeder et al	
		UK 2 327 831 A	07-23-1997	Hawthorne	
		WO 98/26376	06-18-1998	Walker et al	
↓		WO 99/49424	09-30-1999	Flitcroft et al	
BS		UK 2 361 790 A	02-28-2001	Arndt et al	

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**INFORMATION DISCLOSURE
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Sheet

5

of

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Application Number

10/037,007

Filing Date

November 9, 2001

First Named Inventor

John D'Agostino

Art Unit

2162

Examiner Name

Attorney Docket Number

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
BS		ERAN GABBER and ABRAHAM SILBERSCHATZ, A Minimal Distributed Protocol for Electronic Commerce, www.usinex.publications (Article), Oakland, USA, November 18-21, 1996	
		CITI.COM, Total Fraud Protection, (printout) CBSD002144-CBSD002153	
		OWEN THOMAS, Money Changers, www.ecompany.com, (Article), October 2000	
		Netchex - a short brief, www.tmi.hut.fi/Studies/Tik-110.50/1997/Ecommerce/netchex_5.html, (Article), April 5, 1997	
		GE CAPITAL FINANCIAL INC., GE Pre-Authorization System, (GE's website printout)	
		Virtual Credit Card (VCC), www.geocities.com/Eureka/Park/5014/vcc.htm, (printout), June 28, 1999	
		Smart Cards, disc.cba.uh.edu, (printout), November 1, 2001	
		VINCENT MOSCARITOLO & ROBERT HETTINGA, Digital Commerce for the Rest of Us Apple in a Geodesic Economy, www.shipwright.com/rants/rant_15.html, (article), September 4, 1996	
↓		BLAKE IVES & MICHAEL EARL, Mondex International: Reengineering Money, London Business School Article, isds.bus.lsu.edu/cases/mondex.html, UK, USA	
BS		Smart Card News Ltd's Information Gateway, www.smartcard.co.uk/articles/electronicmoney.html, November 1, 2001	

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NON PATENT LITERATURE DOCUMENTS

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